

06/21/00

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Appln. Trans.  
PATENT

UTILITY PATENT  
APPLICATION  
TRANSMITTAL

(Only for new nonprovisional  
applications under 37 CFR 1.53(b))

Attorney Docket No. AP32551 070050.1303

First Named Inventor Ashutosh Dutta

Express Mail Label No. EJ339573277US

Total Pages 258

jc781 U.S. PTO  
09/596864  
06/19/00

June 19, 2000

**BY EXPRESS MAIL - Label No. EJ339573277US**

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

Sir:

Enclosed herewith for filing is a patent application of Ashutosh Dutta entitled SYSTEM AND METHOD  
FOR RECEIVING OVER A NETWORK A BROADCAST FROM A BROADCAST SOURCE

which includes:

[x] Specification 48 Total Pages  
[x] Claims 11 Total Pages  
[x] Abstract 1 Total Pages  
[x] Drawing(s) 12 Total Sheets  
       formal  
       informal  
[x] Appendix 181 Total Sheets

☐ Combined Declaration and Power of Attorney        Total Pages

☐ Newly executed (original or copy)

☐ Copy from a prior application

(for continuation/divisional only - must be filed to avoid surcharge for late filing)

If a continuing application, check appropriate box:

☐ Continuation ☐ Divisional

☐ Continuation-In-Part (CIP)

of prior application No.

Attorney Docket No. AP32551 070050.1303

☐ Amend the specification by inserting, before the first line, the following sentence:

"This is a ☐ continuation ☐ divisional ☐ continuation-in-part  
of copending application Serial No.    filed   ."

☒ An Assignment of the invention to The Trustees of Columbia University in the City of New York.

☐ is attached. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.

☒ will follow.

☐ has been filed in the prior application

☒ Small Entity Statement(s) **ENCLOSED**.

☐ Small Entity Statement filed in prior application. Status still proper and desired.

☐ Information Disclosure Statement (IDS) PTO-1449

☐ Copies of IDS Citations.

☐ Preliminary Amendment

☒ Return Receipt Postcard

☐ Other   

☐ Cancel in this application original claims    of the prior application before calculating the filing fee.

The filing fee has been calculated as shown below:

FOR	(Col. 1) <u>No. Filed</u>	(Col. 2) <u>No. Extra</u>	Small Entity <u>Rate</u>	Fee	OR	Other Than A Small Entity <u>Rate</u>	Fee
Basic Fee				\$345.00			
Total Claims	32	-20 =	12	x 9 =	\$108.00	x 18 =	\$216.00
Ind. Claims	6	-3 =	3	x 39 =	\$117.00	x 78 =	\$0.00
Multiple Dependent Claim				+ 230 =		+ 260 =	
			Total		<u>\$570.00</u>		<u>\$570.00</u>

\* If the difference in Col. 1 is less than zero, enter "0" in Col. 2.

Fee Payment Being Made:

☒ Enclosed

☒ Basic filing fee \$570.00

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PATENT

Attorney Docket No. AP32551 070050.1303

☐ Recording Assignment \$0.00  
[\$40.00; 37 CFR 1.21(h)]

Total Fees Enclosed \$570.00

[X] A check in the amount of \$570.00 to cover filing fee and assignment recordation fee is enclosed.

Priority

[X] Priority of U.S. provisional application serial no. 60/139,933 filed June 18, 1999 is claimed under 35 U.S.C. 119(e).

☐ Certified Copy of Priority Document(s) Country   , Appln No.   , filed   .

☐ is/are attached ☐ will follow ☐ has been filed in the parent application S/N   .

[X] The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR 1.16, 1.17, and 1.21(h) associated with this communication or credit any overpayment to Deposit Account No. 02-4377. Two copies of this sheet are enclosed.

BAKER BOTTS L.L.P.

By

  
Gary Abelev

PTO Registration No. 40,479

Enclosures